Practice with Screening & Getting started with the on-line IEE Assistant

USAID Staff Environmental Training
Bagamoyo, Tanzania 2-6 June 2008
About this session

In this session:

we practice Reg. 216 screening using the step-by-step guidance and linked resources in the online IEE Assistant

www.encapafrica.org/assistant.htm
IEE Assistant: Overview

The Assistant organizes the Reg 216 documentation process into 5 basic steps:

1. List Proposed Activities
2. Do Screening
3. Determine Documentation Required
4. Prepare Documentation
5. Submit
6. Address Queries

The RESOURCES PAGE contains links to all documentation forms, regulatory language & guidance materials referred to in the tool.

6 steps for preparing Reg. 216 documentation. Each step explained on the GETTING STARTED page.

Click to jump to any step.

DIAGRAM FUNCTIONS AS A NAVIGATION BAR
Getting started with Screening...

1. Click on “list proposed activities”

- The assistant defines the differences between “activities” and “actions”

- Provides a summary table to help you stay organized
  - List each activity
  - Record screening results

<table>
<thead>
<tr>
<th>Activities (with optional notes about scale, location, etc.)</th>
<th>Screening Result</th>
<th>(If IEE is required) IEE Recommended Determination</th>
</tr>
</thead>
<tbody>
<tr>
<td>An activity is a desired accomplishment or output</td>
<td></td>
<td>Possible results:</td>
</tr>
<tr>
<td>E.g.: a road, seeding production, or river diversion to</td>
<td></td>
<td>* Categorical Exclusion,</td>
</tr>
<tr>
<td>irrigate land. Accomplishing an activity requires a set</td>
<td></td>
<td>* IEE Required,</td>
</tr>
<tr>
<td>of actions (For example, a</td>
<td></td>
<td>* IEE Required and High Risk</td>
</tr>
<tr>
<td>access road rehabilitation involves survey, grading,</td>
<td></td>
<td></td>
</tr>
<tr>
<td>culvert construction, compaction, etc...)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>List activities, NOT actions.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Market access road rehabilitation (-10km in up to 10</td>
<td>IEE required</td>
<td>Possible determinations:</td>
</tr>
<tr>
<td>locations)</td>
<td></td>
<td>* Negative Determination</td>
</tr>
<tr>
<td>3.</td>
<td></td>
<td>* Neg Determination with Conditions</td>
</tr>
<tr>
<td>4.</td>
<td></td>
<td>* Positive Determination</td>
</tr>
</tbody>
</table>

Review: what is an activity?

An activity is:

- a desired accomplishment or output
  - E.g.: a road, seedling production, or river diversion to irrigate land

Accomplishing an activity requires a set of actions

| ACTIVITY: market access road rehabilitation | ACTIONS: Survey, grading, culvert construction, compaction, etc.
|--------------------------------------------|----------------------------------------------|

Screening is done at the activity level, NOT the action level.
Next step: the screening decision tree

Click on “do screening”

The assistant shows the screening “decision tree”
Follow the screening decision tree

Start

1. Is the activity an EMERGENCY?
   - YES
   - NO

2. Is the activity VERY LOW RISK?
   - YES
   - NO

3. USAID has direct knowledge/control?
   - YES
   - NO

4. Is the activity HIGH RISK?
   - YES
   - NO, or not yet clear

Screening results & their meaning

**“EXEMPTION”**
No environmental review required, but anticipated adverse impacts should be mitigated.

**“CATEGORICAL EXCLUSION”**
In most cases, no further environmental review is necessary.

**“ATTENTION”**
You probably must do a full Environmental Assessment (EA) or revise the activity.

Complete the decision tree for each activity listed. Enter the results in the summary table.

Click on any of the screening questions and get a pop-up summary definition

Another click gives you the detailed definition

REMEMBER, Reg. 216 defines “Emergencies” (EXEMPTIONS) and “Low Risk Activities” (CATEGORICAL EXCLUSIONS) very specifically.

YOUR ACTIVITY MUST SATISFY THE REG. 216 DEFINITIONS, or it is NOT an exemption/categorical exclusion!
Review: What documentation is required?

- The outcome of your screening process determines the documentation you must submit:

<table>
<thead>
<tr>
<th>Overall screening results</th>
<th>Environmental documentation required</th>
</tr>
</thead>
<tbody>
<tr>
<td>All activities are exempt</td>
<td>None*</td>
</tr>
<tr>
<td>All activities are categorically excluded</td>
<td>Categorical Exclusion request*</td>
</tr>
<tr>
<td>All activities require an IEE</td>
<td>IEE covering all activities*</td>
</tr>
<tr>
<td>Some activities are categorically excluded, some require an IEE</td>
<td>An IEE that*:</td>
</tr>
<tr>
<td></td>
<td>- covers activities for which an IEE is required AND</td>
</tr>
<tr>
<td></td>
<td>- Justifies the categorical exclusions</td>
</tr>
</tbody>
</table>

*plus a Compliance facesheet
Review: Basic Reg. 216 compliance documents

1. Initial Environmental Examination
   1. Goals and purpose of project; list of activities
   2. Baseline information
   3. Evaluation of potential environmental impacts
   4. Recommended findings, mitigation & monitoring

The IEE is USAID’s “preliminary assessment”

2. Categorical Exclusion Request
   1. Goals and purpose of project; list activities
   2. Justification for a Categorical Exclusion (must cite the appropriate section of Reg. 216.)

The categorical exclusion request is a simple document used when ALL activities are “low risk”

3. A “facesheet” form accompanies both the IEE & the CatEx Request

The EPTM

- The IEE Assistant is based on the *Environmental Procedures Training Manual*
  - Also provides step-by-step guidance & resources
  - See presentation in sourcebook
  - Available online at [www.encapafrica.org](http://www.encapafrica.org)